

# Investment Round Workshop FAQs

## *Questions from the sector*

### Investment Briefs

#### **Will the TEC disinvest in Tertiary Education Organisations (TEOs) if they do not meet the targets in the Investment Briefs?**

The targets in the Investment Briefs are system targets – not TEO specific targets. What is relevant for TEOs is their potential contribution to the overall system target. These targets will be used as a starting point for investment discussions. This is not a ‘one size fits all’ exercise. We expect those discussions to highlight areas where each TEO can contribute more to learner success and where they feel they cannot help make a difference, and why.

#### **Will there be extra money to achieve the outcomes in the Investment Briefs?**

There is no specific extra funding. We expect TEOs to analyse their provision and think about how their delivery could better contribute to the Investment Brief targets.

#### **How will TEC use the performance target information in investment decisions?**

We will use the information outlined in the performance targets as a point of focus for investment discussions. Important factors will include:

- › how a TEO currently performs against these targets
- › where they can potentially contribute more to targets, and
- › why a TEO may not be able to contribute to certain targets.

Smaller PTEs may not require detailed investment discussions and may not have to submit Investment Plans. However, they should still consider what contribution they can make towards the Investment Brief targets, where appropriate.

#### **How can positive outcomes, such as employment, be considered alongside Investment Brief targets in decision-making?**

Post-study Outcomes information is now available. This will allow you to engage with us on the employment outcomes of your learners. Where you have further evidence of employment outcomes for your learners, you should engage with your Investment Manager or Advisor.

## How will the new TEC priorities feature in ongoing engagement after the Investment Plan is approved?

The priorities outlined in the Investment Toolkit products, including Investment Briefs and targets, are intended to inform the investment round discussions. However, they also signal the direction for the tertiary sector in general. You should expect these to inform ongoing discussions with us.

## How will careers and other information be used in investment decisions?

We will engage with you on any evidence or information that we can use to support investment discussions. This includes careers information where this can inform discussions about a TEO's contribution to system targets.

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## Youth Guarantee

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### Do you have a position on how Youth Guarantee and fees free will interact going forward?

We are working with the Ministry of Education on the policy and details for the policy and implementation for 2019 and following years. More information will be shared later in the year.

### When will the Ministry of Education finish the review of Youth Guarantee?

No exact date has been confirmed. We will work with the sector once these details are available.

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## Parity

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### Do we need to achieve parity within five years or do we just need to have the key indicators heading in the right direction?

We want to achieve parity where possible. And where it is not, at the very minimum, we want to see key indicators heading in the right direction.

Our expectation is that within five years, achievement and participation data will show that parity will be reached in the near future. This is a key contribution to the Government's goals for the tertiary sector and barrier free education.

The infosheets on ['a tertiary system that works for everyone'](#) provide more information on how we can work together to achieve parity for Māori and Pasifika learners.

The [Investment Briefs](#) identify what TEOs need to achieve at a system level and what achievement and participation parity looks like. The targets are time bound giving TEOs a defined timeline to plan towards achieving this.

The targets in the Investment Briefs are system targets – not TEO specific targets. What is relevant for TEOs is their potential contribution to the overall system target. These targets will be used as a starting point for investment discussions. This is not a ‘one size fits all’ exercise. We expect those discussions to highlight areas where you can contribute more to Māori and Pasifika learner success and where you feel you cannot help make a difference. We expect TEOs to have a discussion with their Investment Manager or Advisor about the parity targets as part of their Plan engagement.

Smaller PTEs may not have detailed investment discussions and may not have to submit Investment Plans. However, they should still consider what contribution they can make towards the Investment Brief targets, where appropriate.

## **What is being done to ensure Māori and Pasifika learners are better prepared to transition, participate and succeed at the right levels in tertiary study?**

We recognise that Māori and Pasifika learners often enter tertiary education with significant challenges, and it’s not always simple for you to support these learners to succeed. Lots of factors impact an individual student’s ability to succeed when they enter tertiary (school success, home background, poverty, etc) but education can – in a way that almost nothing else does –help to overcome these disparities.

We will work with key partners, particularly the Ministry of Education, to support your interactions with secondary schools. We will also provide more targeted information products and services to better support tertiary education and career choices through:

- › a range of user-friendly online careers information, resources and services (eg, Key Information Set and MyQ), and
- › data about post-study outcomes and information on regional, employer, industry, and labour market needs and trends.

## **Is there a parity focus for TEOs besides those mentioned in the Infosheets and Investment Briefs?**

Yes – we have a methodology for determining appropriate targets for each TEO. For some sectors, eg, universities, this is the national population. For others it will be a more targeted catchment. We use population projections for 15-39 year olds for all sectors. It’s important that we come to a shared understanding – across the whole of the system – of what works, what are the barriers and how we can work together to eliminate or reduce them.

## **Will the duration of a TEO’s Investment Plan have an impact on parity being attained?**

No – we will work with you to agree ambitious and achievable commitments to reach parity by 2022. For this investment round, we will look for clear and specific actions being taken to improve participation, retention and achievement outcomes for Māori and Pasifika learners.

We will be also be keeping a close eye on what actually happens, such as:

- › how well initiatives are being implemented
- › the impacts of the initiatives, and
- › how learners are being supported to succeed.

Over time, we will be looking to shift funding to TEOs who can demonstrate they are making good progress toward parity, by meeting or exceeding Investment Plan commitments.

Smaller PTEs may not require detailed investment discussions and may not have to submit Investment Plans. However, they should still consider what contribution they can made towards the Investment Brief targets, where appropriate.

## **Why are we not also looking outside the tertiary education system for answers to achieving better outcomes for all learners?**

We are not suggesting that TEOs have control over all of the levers that can resolve these problems. However, we do want to see what contributions TEOs can make and have investment discussions on that basis.

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## **Capability Framework**

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### **How do TEOs complete the Capability Framework?**

The Capability Framework is an excel document which can be completed and then saved on Workspace2. Use this naming convention: EDUMIS – Capability Framework – [funding year].

More information can be found in the Capability Framework infosheet, introduction document and the framework itself in the [Investment Toolkit](#) section of our website.

### **Will providers that complete the Capability Framework be more likely to get a 3 year plan?**

Not necessarily but it will be beneficial for you to complete this process as it gives both you and us a better understanding of your organisations strengths and areas for improvement.

### **Will TEOs be compared against each other?**

The Capability Framework is an individual assessment. There may be other analysis – e.g. at a sub-sector level, to identify shared issues however the framework is not intended for TEO to TEO comparison.

## **Will TEOs lose funding as a result of completing Capability Framework?**

No. This would remove the value added by the Capability Framework. Our Executive Leadership Team is clear that the framework will lose all value if used in that way.

## **The Capability Framework has 60 questions. How long will it take to complete?**

This will depend on the person completing the framework. TEOs generally spend a large amount of resource on Plan development and this should just form part of that process. You should have at least one person that is able to answer the questions or easily find out the information required to answer a question. Other capability models can have up to 900 questions so this framework is small by comparison.

## **How frequently will the Capability Framework need to be completed?**

The Capability Framework forms part of the Investment Toolkit. It is to be completed as part of the Plan development process so would only need to be completed when a Plan is due (which may be every one, two or three years depending on the Plan process for each TEO). Plan exempt TEOs can align their Capability Framework submissions along the same timeframes.

## **Is this a self-assessment?**

Yes – completing the Capability Framework will provide useful information for and useful information that you can use to improve the capability of your organisation.

## **Can I work with other TEOs to undertake this assessment?**

Yes – for smaller TEOs this is particularly encouraged as the collaboration could be helpful for all involved.

## **How do I determine where I sit between not adequate and fully adequate?**

Advice in the Capability Framework will aid you to identify how to best place your organisation on the not adequate/fully adequate scale.

## **Does the assessment provide a forecast of where a TEO's capability may be in the future?**

No – the Capability Framework provides an assessment of current TEO capability. If more than one assessment is completed overtime, the results can be used to show capability gains.

## Were small TEOs considered during the design of this Framework?

Yes - we involved smaller TEOs during the pilot of the Capability Framework. Smaller TEOs are encouraged to collaborate with other small TEOs when completing the framework.

## Does the Capability Framework duplicate EER assessments?

The framework was specifically designed not to duplicate NZQA EER assessments. The framework provides an assessment on capability as opposed to quality. However there may be some overlap and TEOs are encouraged to use the same statements and evidence in both assessments where appropriate.

## Is evidence required to support statements made when completing the Framework?

Yes – there is the opportunity to refer to other documents to support your statements and your ratings.

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## Primary Industries

### How does the TEC define primary industries?

From an investment perspective primary industries includes the production, processing, and marketing of food, fibre, and related products. It also includes wider cluster industries such as agritech, fertilisers, animal and plant genetics, and veterinary and other professional services.

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## Ngā Kete tools

### Where can we go if we need help with Ngā Kete Information Products?

For help, contact your Investment Manager, Advisor or the Sector Helpdesk on 0800 601 301.

Quick Reference Guides and infosheets are also available on our website. For those apps supporting the investment round also see *Products to describe our approach to decision-making* on page <http://www.tec.govt.nz/funding/funding-and-performance/investment/investment-toolkit/>.

### How can TEO performance information (as shown in Ngā Kete) be used by NZQA and Audit NZ to avoid duplication of information and effort?

The information products in Ngā Kete replace files and spreadsheets previously released through Workspace 2. NZQA or Audit NZ can use the data sheet in each My app (My Performance, My Provision etc.) – where it is provided by a TEO. If the data is not available via these information products you should contact your Investment Manager, Advisor or Sector Helpdesk on 0800 601 301. We are working with NZQA to make sure the data available to you via Ngā Kete is also available to NZQA.

## Is it possible to include the LNAAT data in Ngā Kete?

It is our intention to make LNAAT data available via Ngā Kete. No date has been set.

## What do we do if we identify a discrepancy or error in the data?

If error is suspected in the data you should contact your Investment Manager or Advisor or the Sector Helpdesk on 0800 601 301 to discuss the issue further.

## Do the regions differ between the applications?

The regions only differ in the Secondary to Tertiary Transition app where, for those leaving school, the territorial authorities for the Auckland Region is broken down to the 13 wards. Those enrolling in tertiary study in the territorial authorities for the Auckland Region are broken down to five cities/districts that previously made up the Auckland region.

## Are the old EPIs available?

We are no longer releasing results for the old qualification completion and retention EPIs. If you need to obtain these rates you should contact your Investment Manager or Advisor or the Sector Helpdesk on 0800 601 301.

## Does the TEC see the same information that the TEOs see?

Yes – however certain information products restrict the ability for TEOs to view other TEOs information.

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## General

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## What are the criteria for being exempt from submitting an Investment Plan?

TEOs are exempt from submitting a Plan if:

- › they have an EER rating of 1 or 2, and they are applying for less than \$3m in TEC funding
- or**
- › they have a current plan that does not expire in 2018.

If you are unsure contact your Investment Manager or Advisor.

## How will the Literacy and Numeracy for Adults Assessment Tool (LNAAT) be used in this Investment Round?

We will engage with you on any evidence or information that we can use to support investment discussions, including LNAAT data.

## **How will the TEC fund micro-credentials?**

The policy and operational work is still ongoing. We will consult with the sector at a later date on this work.

## **How will TEC consider performance of delivery based at a Corrections facility when completions are not guaranteed?**

The Minister has recently agreed that EPIs can be excluded for this provision. We are working out how this will be implemented.